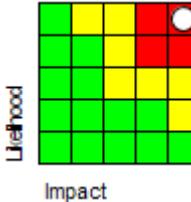
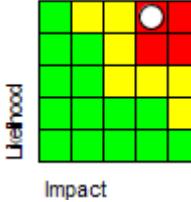
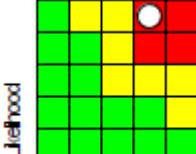
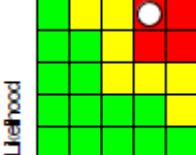
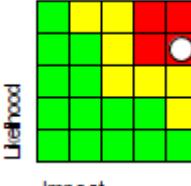
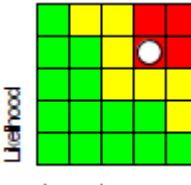


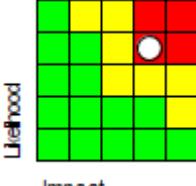
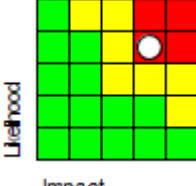
Appendix A - Overview of Corporate Level Risks

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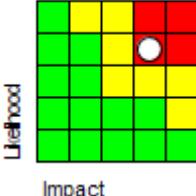
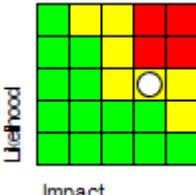
Risk Code & Title	R013 Failure to provide affordable housing and supporting infrastructure in the district in line with identified need.	Uncontrolled Risk Score		25
Consequence / Impact Description	This would increase the level of homelessness cases and create additional pressure on the Council's services and finances.	Current Controlled Risk Score		20
Internal Controls	<ul style="list-style-type: none">• Agreement on countywide housing distribution• Council adoption of appropriate housing needs policies• Feedback to consultation processes• Input into Strategic Planning Groups• Review of options to deliver affordable housing & balanced housing market	Latest Note	There is no change to the risk rating.	
		Latest Note Date	04 Sep 2025	

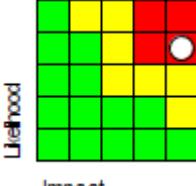
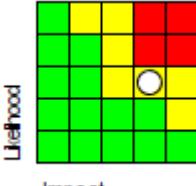
Risk Code & Title	R071 Failure to provide appropriate temporary accommodation for homeless households	Uncontrolled Risk Score	 Impact	20
Consequence / Impact Description	We would see an increase in homeless households sleeping rough.	Current Controlled Risk Score	 Impact	20
Internal Controls	<ul style="list-style-type: none"> Continue to source alternative provision of temporary accommodation in the District 	Latest Note	There is no change to the risk rating.	
		Latest Note Date	04 Sep 2025	

Risk Code & Title	<p>R007 Impact on financial position as a result of lack of certainty around future funding streams (i.e. Business Rates, Fair Funding, New Homes Bonus and Council Tax Equalisation), and cost of living crisis.</p>	Uncontrolled Risk Score		20
Consequence / Impact Description	<p>There could be a negative impact on delivery of services affecting residents, businesses and resources.</p>	Current Controlled Risk Score		16
Internal Controls	<ul style="list-style-type: none"> • Awareness & Understanding of national policy changes • Balanced budget approved • Deliver Action Plan of Commercialisation Strategy • Maintain an awareness of changing priorities • MTFS in place • Strategy to maximise growth of Business Rates • Working with significant partners • Maintain adequate level of reserves • Financial plan now in place with measures to reduce the budget gap. 	Latest Note	There is no change to the risk rating.	
		Latest Note Date	04 Sep 2025	

Risk Code & Title	R158 The Council is unable to meet its 5 year land supply target	Uncontrolled Risk Score	 Likelihood Impact	16
Consequence / Impact Description	Without a 5 year Housing land supply, speculative development could take place across the district. There would be no control over development and to create the capacity of the 5 year housing land supply. The Council would not meet it's housing targets or operate with the new national planning framework.	Current Controlled Risk Score	 Likelihood Impact	16
Internal Controls	<ul style="list-style-type: none"> • Re-establish land supply through new local plan • Ensure that Planning Committee are sufficiently well-trained to be able to approve favourable housing applications 	Latest Note	There is no change to the risk rating.	
	Latest Note Date	04 Sep 2025		

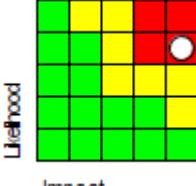
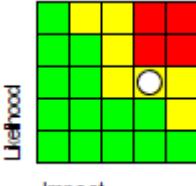
Risk Code & Title	R019 Cost of living crisis and other external factors impacting on services.	Uncontrolled Risk Score	 Likelihood Impact	20
Consequence / Impact Description	Leading to an increased demand for the Council's services.	Current Controlled Risk Score	 Likelihood Impact	15
Internal Controls	<ul style="list-style-type: none"> • CAB service • Earmarked reserve in place to support cases of serious hardship • Communication with food banks to be able to provide support • Supporting residents in times of crisis 	Latest Note	There is no change to the risk rating.	
	Latest Note Date	04 Sep 2025		

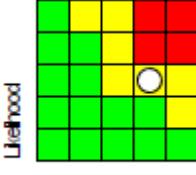
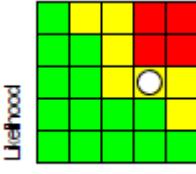
Risk Code & Title	R014 Impact on structural, legislative and budgetary changes in other Public Sector organisations (e.g. DWP, Health, NHS, Police, Leicestershire CC).	Uncontrolled Risk Score	 16
Consequence / Impact Description	There would be an impact on the Council's financial position & delivery of services.	Current Controlled Risk Score	 12
Internal Controls	<ul style="list-style-type: none"> Maintain awareness & respond to implications of emerging changes in public sector delivery organisations 	Latest Note	There is no change to the risk rating. Risk title amended slightly.
		Latest Note Date	04 Sep 2025

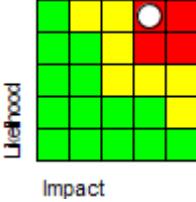
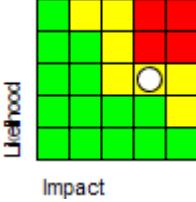
Risk Code & Title	R017 Failure of ICT systems.	Uncontrolled Risk Score		20
Consequence / Impact Description	This would mean the Council is unable to deliver services.	Current Controlled Risk Score		12
Internal Controls	<ul style="list-style-type: none"> Adoption of ITIL standards around service desk management. Internet provision resilience at both main sites (2x connections). Location agnostic (supported by the ability to securely work “anywhere you have an internet connection”) Zonal redundancy across the entirety of our ICT infrastructure. File storage resiliency, immutable backups and DR policies. Monthly privileged account review. Cyber security – Managed Endpoint Protection, Managed Infrastructure Protection, Security Operations Centre (24/7/365 monitoring), Zero Day Threat Alerts, CAF v4 best practice, Cyber Essentials accreditation, conditional access policies, geographical protection, phishing resistant MFA, phishing attack simulation, change management 	Latest Note	This risk has been reduced and the internal controls updated as the ICT service has been brought back in house. A case study on Blaby District Council bringing the ICT service back in house has been published in the Cyber Centre of Excellence for local public services.	
		Latest Note Date	04 Sep 2025	

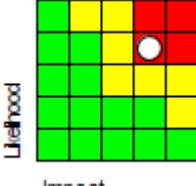
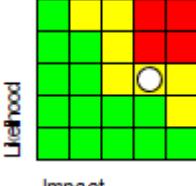
procedures, end-user training, end-user reporting facility, device isolation, biometric device authentication.

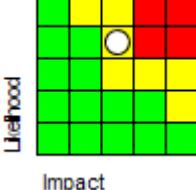
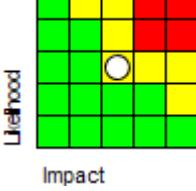
- 3rd party IT health check / external threat scanning.
- Cloud presence – Patch management schedules, server & RBAC protection.

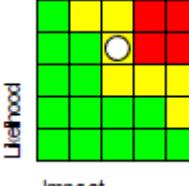
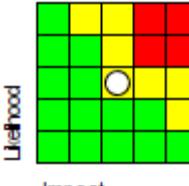
Risk Code & Title	R018 ICT security breaches and non-compliance with Government security standards.	Uncontrolled Risk Score	 Likelihood Impact	20
Consequence / Impact Description	That there could be a cyber attack.	Current Controlled Risk Score	 Likelihood Impact	12
Internal Controls	<ul style="list-style-type: none"> Cyber security – Managed Endpoint Protection, Managed Infrastructure Protection, Security Operations Centre (24/7/365 monitoring), Zero Day Threat Alerts, CAF v4 best practice, Cyber Essentials accreditation, conditional access polices, geographical protection, phishing resistant MFA, phishing attack simulation, change management procedures, end-user training, end-user reporting facility, device isolation, biometric device authentication. 3rd party IT health check / external threat scanning. PSN Accreditation. DWP MOU in place. Information governance process in place for reporting breaches. 	Latest Note	This risk has been reduced and the internal controls updated as the ICT service has been brought back in house. A case study on Blaby District Council bringing the ICT service back in house has been published in the Cyber Centre of Excellence for local public services.	
		Latest Note Date	10 Oct 2025	

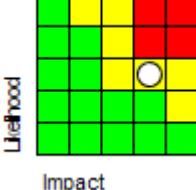
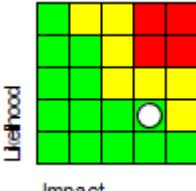
Risk Code & Title	R021 External factors influencing the Council's progress on achieving the 2030 net zero carbon reduction target.	Uncontrolled Risk Score		12
Consequence / Impact Description	This would affect the Council's reputation and have a detrimental impact on the area and environment.	Current Controlled Risk Score		12
Internal Controls	<ul style="list-style-type: none"> Carbon reduction action plan in place Dedicated officer working on "green" initiatives Cross service working group in place to maintain high profile and awareness Fleet replacement strategy under review Member steering group to be formed 	Latest Note	There is no change to the risk rating. The net zero steering group is in progress and invitations have been sent for 2 meetings planned during September and November 2025.	
	Latest Note Date	04 Sep 2025		

Risk Code & Title	R131 Effectiveness and Partners lose confidence in the Leicestershire Building Control Partnership delivery model.	Uncontrolled Risk Score		20
Consequence / Impact Description	There is a risk that the Building Control Partnership will not meet its financial targets due to the current economic climate and downturn in the housing market, as well as additional training requirements in the wake of the Grenfell disaster. This could lead to financial losses affecting Blaby's financial position.	Current Controlled Risk Score		12
Internal Controls	<ul style="list-style-type: none"> • Quarterly Board Meetings • Regular contact with members of the Partnership • A review of recruitment and retention 	Latest Note	There is no change to the risk rating.	
		Latest Note Date	04 Sep 2025	

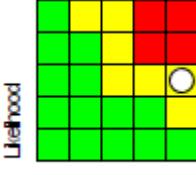
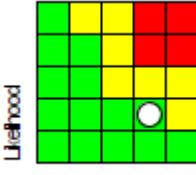
Risk Code & Title	R157 The Council is unable to deliver a new Local Plan	Uncontrolled Risk Score		16
Consequence / Impact Description	<p>There is a risk that the Council may not be able to adopt a new local plan if agreement cannot be reached over sites to be included that contribute towards our target land supply. Linked to R158. This could have an impact on the Council's reputation.</p>	Current Controlled Risk Score		12
Internal Controls	<ul style="list-style-type: none"> Identify additional sites for residential development Release appropriate sites for residential development through the development management process Progress the local plan in accordance with the Local Development Scheme Member training plan in place Resource in place to ensure delivery 	Latest Note	<p>There is no change to the risk rating.</p>	
		Latest Note Date	04 Sep 2025	

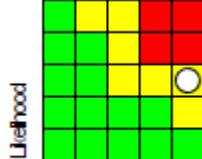
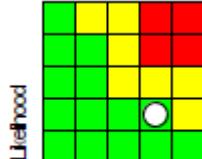
Risk Code & Title	R001 Engagement of elected members negatively impacting on decision making process	Uncontrolled Risk Score	 Likelihood Impact	12
Consequence / Impact Description	This could lead to issues regarding proper decision making.	Current Controlled Risk Score	 Likelihood Impact	9
Internal Controls	<ul style="list-style-type: none"> • Cabinet & Leader awareness and development • Code of conduct • Member development strategy/ supporting roles & responsibilities • Recruitment/member succession • Audit & Corporate Governance Committee • Training/Cabinet development • Induction Programme for new members • New, cross-party Whips Group in place. • Additional training planned to be undertaken for the leader and cabinet members. 	Latest Note	This risk has been increased in view of an increase in social media posts which could potentially influence decisions.	
		Latest Note Date	04 Sep 2025	

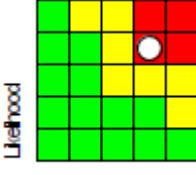
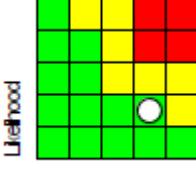
Risk Code & Title	R130 Delivery of the Hospital Enablement Team Model Becomes Unsustainable	Uncontrolled Risk Score	 Likelihood	12
Consequence / Impact Description	HET is fully externally funded. One of the funders may decide that they are unable to continue to support the service due to increasing financial pressures. However, the main risk is over loss of confidence in our ability to deliver the service, and alternative models might be explored.	Current Controlled Risk Score	 Likelihood	9
Internal Controls	<ul style="list-style-type: none"> Regular board meetings in place Recognition of partners' financial position Regular budget monitoring 100% external funding in place 	Latest Note	There is no change to the risk rating.	
		Latest Note Date	04 Sep 2025	

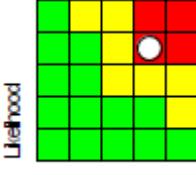
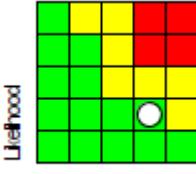
Risk Code & Title	R002 Blaby District Plan is not deliverable within available resources.	Uncontrolled Risk Score	 Likelihood Impact	12
Consequence / Impact Description	If we do not deliver the corporate objectives the quality of life of residents and those who work in the district will not be improved.	Current Controlled Risk Score	 Likelihood Impact	8
Internal Controls	<ul style="list-style-type: none"> • All service plans aligned to Blaby District Plan objectives • Be clear about expected outcomes • Monitor delivery of Blaby District Plan • Review Blaby District Plan priorities regularly • Reserves give us a choice to put resources in should it be required 	Latest Note	There is no change to the risk rating.	
	Latest Note Date	04 Sep 2025		

Risk Code & Title	R003 Failure to have effective safeguarding arrangements and a plan in place to safeguard children and vulnerable adults.	Uncontrolled Risk Score	 Likelihood Impact	15
Consequence / Impact Description	Children and vulnerable adults may be placed in danger.	Current Controlled Risk Score	 Likelihood Impact	8
Internal Controls	<ul style="list-style-type: none"> • Active Member of District DSO Group • Continuous training of designated officers, review of policies • Ensuring enough trained officers are available • Policies in place and effectively communicated to staff 	Latest Note	There is no change to the risk rating.	
	Latest Note Date	04 Sep 2025		

Risk Code & Title	R004 Failure to ensure adherence to internal control arrangements.	Uncontrolled Risk Score	 Likelihood Impact	15
Consequence / Impact Description	Failure to ensure adherence to internal control arrangements would leave the council open to fraud, affecting reputation. If adequate fraud & corruption prevention arrangements are not in place this leaves the council open to potential financial losses, wrong doing, breaches of the councils procedures & policies & legal responsibilities.	Current Controlled Risk Score	 Likelihood Impact	8
Internal Controls	<ul style="list-style-type: none"> • Anti Fraud & Corruption/Benefit Fraud policies reviewed & adopted. • Staff/Member training • Employees adhere to governance procedures • Ensure we do not employ staff with false records • Use of NAFN bulletins to maintain awareness of latest threats • Policies and procedures ensure segregation of duties 	Latest Note	There is no change to the risk rating.	
	Latest Note Date	04 Sep 2025		

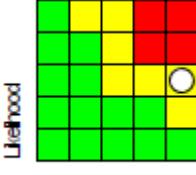
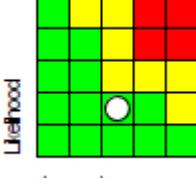
Risk Code & Title	R006 Lack of effective Emergency Planning and Business Continuity arrangements	Uncontrolled Risk Score	 <small>Impact</small>	15
Consequence / Impact Description	Service delivery would be negatively affected impacting on residents, businesses and staff. There could also be potential damage to the Council's reputation.	Current Controlled Risk Score	 <small>Impact</small>	8
Internal Controls	<ul style="list-style-type: none"> • All key EP & BC documents on Resilience Direct • Internal EP & BC working group meeting • Periodic training with SLT & key officers of plans • Out of hours Emergency Contact Centre Contract (First Call) • Partnership with the Leicestershire Resilience Forum • Senior Leadership Team On Call Rota 	Latest Note	There is no change to the risk rating. The Health & Safety Officer has confirmed that 90% of the Business Continuity Plans have now been completed by Service Manager's and added to the Resilience Direct portal.	
		Latest Note Date	04 Sep 2025	

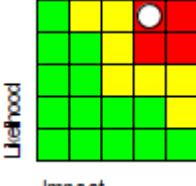
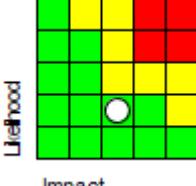
Risk Code & Title	R011 Failure to identify, record, monitor and report health and safety risks.	Uncontrolled Risk Score		16
Consequence / Impact Description	There could be a negative impact on the health and safety of staff and residents.	Current Controlled Risk Score		8
Internal Controls	<ul style="list-style-type: none"> • Effective Health & Safety Committee • Effective Health & Safety procedures • Service blueprints • Dedicated Health & Safety Officer 	Latest Note	There is no change to the risk rating.	
		Latest Note Date	04 Sep 2025	

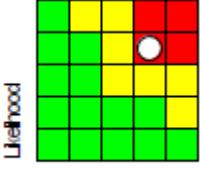
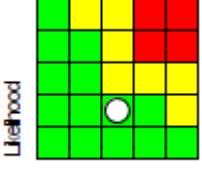
Risk Code & Title	R012 Closure, downsizing of premises or relocation of a major district employer.	Uncontrolled Risk Score	 Impact	16
Consequence / Impact Description	This may cause significant unemployment, retraining requirements and a potential loss of Business Rates.	Current Controlled Risk Score	 Impact	8
Internal Controls	<ul style="list-style-type: none"> Review the Council's Economic Development Strategy and resources Develop the work and skills capacity Engage regularly with businesses to understand requirements Work with authorities, landowners, developers & agents The establishment of business breakfasts, the Economic development group and Tourism partnership enables the council to have links into local businesses. Through these links it is possible to understand, and hear news about, potential business closure risks, whilst also assessing what more BDC can do to support businesses. A Business Board has been established which will add further capacity to business engagement capabilities. The first meeting is on 16th September 2025. 	<p>Latest Note</p> <p>There is no change to the risk rating.</p>	<p>Latest Note Date</p> <p>04 Sep 2025</p>	

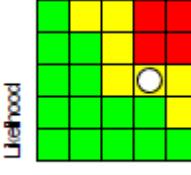
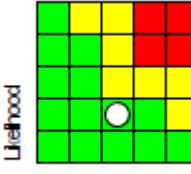
Risk Code & Title	R008 Failure to comply with legislation.	Uncontrolled Risk Score	 Impact	9
Consequence / Impact Description	The Council would not meet it's statutory obligations.	Current Controlled Risk Score	 Impact	6
Internal Controls	<ul style="list-style-type: none"> Constitution regularly reviewed and kept up to date Annual Governance Statement Independent Member Committees Skilled workforce Training/CPD The organisation promotes and demonstrates the principle and values of good governance 	Latest Note	There is no change to the risk rating.	
	Latest Note Date	04 Sep 2025		

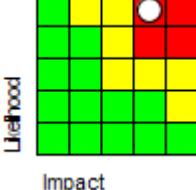
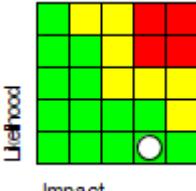
Risk Code & Title	R009 Contracts may fail to deliver intended outcomes if not managed effectively.	Uncontrolled Risk Score	 Likelihood Impact	9
Consequence / Impact Description	If contracts are not managed effectively, improvements and efficiencies may not be delivered.	Current Controlled Risk Score	 Likelihood Impact	6
Internal Controls	<ul style="list-style-type: none"> Ensure that effective contract management arrangements are put in place as part of procurement process. Manage SLM Contract through quarterly governance meetings. Awareness and contract management training delivered to staff involved with procurement. Development of working relationship with the Welland Procurement Unit. Review being undertaken of the contract regulations in the Constitution and additional guidance being shared with staff. 	Latest Note	There is no change to the risk rating.	
		Latest Note Date	04 Sep 2025	

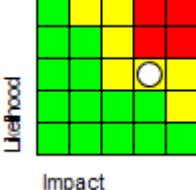
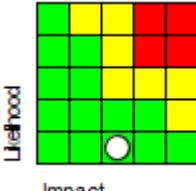
Risk Code & Title	R020 Elevated levels of methane from landfill site at Huncote Leisure Centre and surrounding site.	Uncontrolled Risk Score	 Impact	15
Consequence / Impact Description	The impact on the area and environment.	Current Controlled Risk Score	 Impact	6
Internal Controls	<ul style="list-style-type: none"> Additional monitoring and venting wells installed Regular communication with partners on site Trenches installed around perimeter of building, including a pump and pipework to drain water away to land at rear of site A further active ventilation stack to be installed to draw gas away from building A 24 hour service and maintenance contract which provides immediate response to concerns The children's centre has officially moved onto the Council's kit so there is only one in the building 	Latest Note	There is no change to the risk rating.	
		Latest Note Date	04 Sep 2025	

Risk Code & Title	R126 Impact of Industrial Action on Services & Residents	Uncontrolled Risk Score	 20		
Consequence / Impact Description	Disruption to Council services impacting on residents and businesses in the District.	Current Controlled Risk Score	 6		
Internal Controls	<ul style="list-style-type: none"> Alternative workforce where possible; mental health support in place; arbitration measures; regular communication to staff, members, and residents; SLT presence at depot Monitoring national trends Regular meetings with Unions - JCC - bi-annually; Unison - quarterly. 	Latest Note	There is no change to the risk rating.	Latest Note Date	04 Sep 2025

Risk Code & Title	R132 Delivery of the Lightbulb Model becomes unsustainable	Uncontrolled Risk Score	 Likelihood Impact	16
Consequence / Impact Description	Unable to deliver the Lightbulb service to residents.	Current Controlled Risk Score	 Likelihood Impact	6
Internal Controls	<ul style="list-style-type: none"> Regular board meetings in place Recognition of partners' financial position Regular monitoring of budget Meetings held with partners as part of the contract planning process Inclusion on Internal Audit Plan 	Latest Note	There is no change to the risk rating.	
		Latest Note Date	04 Sep 2025	

Risk Code & Title	R178 Procurement Advice provided is incorrect	Uncontrolled Risk Score	 Impact	12	
Consequence / Impact Description	Procurement regulations are not adhered to affecting the Council's reputation and potentially legal consequences.	Current Controlled Risk Score	 Impact	6	
Internal Controls	<ul style="list-style-type: none"> Knowledge maintained of relevant legislation Ongoing training provided for Managers and officers Legal team engaged early on in the process and throughout Contract Regulations are included within the Constitution 	Latest Note	There is no change to the risk rating. Regular meetings being undertaken.	Latest Note Date	04 Sep 2025

Risk Code & Title	R138 Unsuccessful Transition from LICTP Partnership to In House ICT Provision	Uncontrolled Risk Score	 Impact	20
Consequence / Impact Description	If BDC work is not resourced and / or prioritised by LICTP, then project delivery may be impacted and additional costs may be incurred.	Current Controlled Risk Score	 Impact	4
Internal Controls	<ul style="list-style-type: none"> • A staged project plan is being followed which brings systems onboard over a period of time rather than in one instance • Proof of Concept testing is progressing - this illustrates that Blaby infrastructure can support the systems that are planned to be transferred. • Both internal and external system specialists have been engaged with/employed to assist with the transfer of individual systems E.g. such as NEC/Uniform 	Latest Note	There is no change to the risk rating. Transfer of the Finance files is an outstanding issue.	
		Latest Note Date	04 Sep 2025	

Risk Code & Title	R177 Uncontrolled Use of Artificial Intelligence	Uncontrolled Risk Score	 Impact	12
Consequence / Impact Description	Staff sharing council data without due oversight with Public domain AI services. Staff using AI tools without sufficient oversight and business sign-off. Staff using AI tools without sufficient knowledge of the implications of using AI tools	Current Controlled Risk Score	 Impact	3
Internal Controls	<ul style="list-style-type: none"> • Establishment of AI Governance • Ongoing user training and awareness of GDPR, data breaches and cyber security 	Latest Note	There is no change to the risk rating.	
		Latest Note Date	04 Sep 2025	